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STRENGTHENING THE EFFECTIVENESS AND IMPROVING THE EFFICIENCY OF THE SAFEGUARDS SYSTEM AND APPLICATION OF THE MODEL ADDITIONAL PROTOCOL

Resolution adopted on 21 September 2001 during the tenth plenary meeting

The General Conference,

- (a) Recalling resolution GC(44)/RES/19,
- (b) <u>Convinced</u> that the Agency's safeguards promote greater confidence among States and thus contribute to strengthening their collective security,
- (c) <u>Considering</u> the Treaty on the Non-Proliferation of Nuclear Weapons, the Treaty for the Prohibition of Nuclear Weapons in Latin America and the Caribbean, the South Pacific Nuclear Free Zone Treaty, the Treaty establishing the African Nuclear-Weapon-Free Zone and the Treaty on the Southeast Asia Nuclear-Weapon-Free Zone and the Agency's essential role in applying safeguards in accordance with the relevant articles of these treaties,
- (d) <u>Noting</u> that decisions adopted by the Board of Governors aimed at further strengthening the effectiveness and improving the efficiency of Agency safeguards should be supported and implemented and that the Agency's capability to detect undeclared nuclear material and activities should be increased,
- (e) <u>Stressing</u> the importance of the Model Additional Protocol approved on 15 May 1997 by the Board of Governors aimed at strengthening the effectiveness and improving the efficiency of the safeguards system,
- (f) <u>Welcoming</u> the fact that as of 21 September 2001, 58 States and other Parties to safeguards agreements have signed additional protocols, 21 of which have entered into force and 1 is being provisionally applied pending entry into force,
- (g) Noting the high priority the Agency attaches, in the context of furthering the development of the strengthened safeguards system, to integrating traditional nuclear

material verification activities with the new strengthening measures, and <u>looking</u> <u>forward</u> to an expeditious conclusion of this work,

- (h) <u>Welcoming</u> the fact that, in the Safeguards Statement of the Agency for 2000, based on its evaluation of all the information acquired in implementing safeguards agreements and all other information available to the Agency, conclusions could be drawn for States with safeguards agreements that the nuclear material and other items placed under safeguards remained in peaceful nuclear activities or were otherwise adequately accounted for, <u>while noting</u> the cases referred to in GC(44)/RES/26 and GC(44)/RES/27,
- (i) <u>Welcoming</u> the fact that in the Safeguards Statement of the Agency for 2000, based on its evaluation of all the information obtained through activities pursuant to these States' comprehensive safeguards agreements and additional protocols as well as all other information available to the Agency, conclusions could be drawn for seven States that have a comprehensive safeguards agreement and an additional protocol in force, or being provisionally applied, that all nuclear material in these States had been placed under safeguards and remained in peaceful nuclear activities or was otherwise adequately accounted for,
- (j) <u>Noting</u> the considerable increase in the Agency's safeguards responsibilities since the 1995 Review and Extension Conference of the Parties to the Treaty on the Non-Proliferation of Nuclear Weapons,
- (k) Recalling that the Final Document of the 2000 Review Conference of the Parties to the Treaty on the Non-Proliferation of Nuclear Weapons inter alia (1) reaffirmed that the IAEA is the competent authority responsible for verifying and assuring, in accordance with the Agency's Statute and the Agency's safeguards system, compliance with its safeguards agreements and (2) recommended that the Director General of the IAEA and the IAEA's Member States consider ways and means, which could include a possible plan of action, to promote and facilitate the conclusion and entry into force of safeguards agreements and additional protocols, including, for example, specific measures to assist States with less experience in nuclear activities to implement legal requirements,
- (l) <u>Stressing</u> that the strengthening of the safeguards system should not entail any decrease in the resources available for technical assistance and co-operation and that it should be compatible with the Agency's function of encouraging and assisting the development and practical application of atomic energy for peaceful uses and with adequate technology transfer,
- (m) <u>Recalling</u> operative paragraph 14 of resolution GC(44)/RES/19, which recommended to the Director General, the Board of Governors and Member States to consider implementing elements of a plan of action as specified therein, and
- (n) <u>Welcoming</u> the recent holding of the "International Symposium on the Further Reinforcement of IAEA Safeguards in the Asia Pacific Region" in Tokyo with the aim of reaching universality in the application of additional protocols, and <u>sharing the hope</u> for continued efforts to hold similar symposia in other regions,

Consistent with the respective safeguards undertakings of Member States:

- 1. <u>Calls on</u> all Member States to give their full and continuing support to the Agency in order to ensure that the Agency is able to meet its safeguards responsibilities;
- 2. <u>Stresses</u> the need for effective safeguards in order to prevent the use of nuclear material for prohibited purposes in contravention of safeguards agreements, and <u>underlines</u> the vital importance of effective safeguards for facilitating co-operation in the field of peaceful uses of nuclear energy;
- 3. <u>Bearing in mind</u> the importance of achieving the universal application of the Agency's safeguards system, <u>urges</u> all States which have yet to bring into force comprehensive safeguards agreements to do so as soon as possible;
- 4. <u>Affirms</u> that measures to strengthen the effectiveness and improve the efficiency of the safeguards system with a view to detecting undeclared nuclear material and activities must be implemented rapidly and universally by all concerned States and other Parties in compliance with their respective international commitments;
- 5. <u>Stresses</u> the importance of the Agency's safeguards system, including comprehensive safeguards agreements and also the Model Additional Protocol, which are among the essential elements of the system, <u>requests</u> the Secretariat to pursue the implementation of safeguards strengthening measures contained in document GOV/2807 and taken note of by the Board of Governors in 1995 as well as of additional protocols as broadly as possible and without delay as far as available resources permit, and <u>recalls</u> the need for all concerned States and other Parties to safeguards agreements with the Agency to supply the Agency with all the information required under safeguards strengthening measures contained in document GOV/2807 and taken note of by the Board of Governors in 1995, including the early provision of design information;
- 6. <u>Reiterates</u> its support for the Board's decision to request the Director General to use the Model Additional Protocol as the standard for additional protocols which are to be concluded by States and other Parties to comprehensive safeguards agreements with the Agency and which should contain all of the measures in the Model Additional Protocol;
- 7. Requests all concerned States and other Parties to safeguards agreements which have not yet done so to sign additional protocols promptly;
- 8. <u>Welcomes</u> the fact that all nuclear-weapon States have signed protocols additional to their voluntary-offer safeguards agreements incorporating those measures provided for in the Model Additional Protocol that each nuclear-weapon State has identified as capable of contributing to the non-proliferation and efficiency aims of the Protocol, when implemented with regard to that State, and as consistent with that State's obligations under article 1 of the NPT, and <u>invites</u> such States to keep the scope of those additional protocols under review;
- 9. <u>Reiterates</u> its support for the Board's decision to request the Director General to negotiate additional protocols with other States that are prepared to accept measures provided for in the Model Additional Protocol in pursuance of safeguards effectiveness and efficiency objectives;

- 10. <u>Requests</u> the States and other Parties to safeguards agreements having signed additional protocols to take the necessary measures to bring them into force as soon as their national legislation allows;
- 11. <u>Notes</u> the important work being undertaken by the Agency in the conceptualization and development of integrated and cost-effective safeguards, and <u>requests</u> the Secretariat to continue its work in further developing and implementing them on a priority basis;
- 12. <u>Urges</u> the Secretariat to continue to study in the context of implementation of integrated safeguards the extent to which the credible assurance of the absence of undeclared nuclear material and activities, including those related to enrichment and reprocessing, in a State as a whole could lead to a corresponding reduction in the current level of verification efforts with respect to declared nuclear material in that State and a corresponding reduction in the costs associated with such efforts;
- 13. <u>Notes</u> the commendable efforts of Member States, notably Japan, and the IAEA Secretariat in implementing elements of the plan of action outlined in resolution GC(44)/RES/19, and <u>recommends</u> that the Director General, the Board of Governors and Member States continue to consider implementing the elements of the plan of action, as appropriate and subject to the availability of resources, with the aim of facilitating the entry into force of safeguards agreements and additional protocols and review the progress in this regard;
- 14. <u>Requests</u> the Secretariat to examine, subject to the availability of resources, innovative technological solutions to strengthen the effectiveness and to improve the efficiency of safeguards;
- 15. <u>Requests</u> Member States to co-operate among themselves to provide appropriate assistance to facilitate exchange of equipment, material and scientific and technological information for the implementation of additional protocols; and
- 16. <u>Requests</u> the Director General to report on the implementation of this resolution to the General Conference at its forty-sixth regular session.