



IAEA

原子用于和平与发展

الوكالة الدولية للطاقة الذرية

国际原子能机构

International Atomic Energy Agency

Agence internationale de l'énergie atomique

Международное агентство по атомной энергии

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国际原子能机构（原子能机构）秘书处向原子能机构各成员国致意，并荣幸地提请注意以下安全标准草案：

Application of the Concept of Exemption (DS499)

豁免概念的适用（DS499号）

特此为成员国及其专家提供机会，对该安全标准草案进行审查和评价。该安全标准草案可在以下网址在线获得：

<https://www.iaea.org/resources/safety-standards/draft-standards-for-ms-comment>

承索将寄送一份该文本草案的纸质样本。

在该安全标准最终定稿时，将考虑成员国对该文本草案审查后提出的任何修改建议。

诚请成员国根据随附“说明”中提供的指南对该文本草案提出意见。

国际原子能机构秘书处借此机会向原子能机构各成员国致以最崇高的敬意。



2021 年 3 月 5 日

附件（仅以英文印发）：说明

意见表

安全标准委员会的说明

Explanatory Note

Application of the Concept of Exemption (DS499)

The draft text for review, entitled *Application of the Concept of Exemption*, was prepared as a draft Safety Guide to be issued in the IAEA Safety Standards Series.

The draft text has already been reviewed through consultants' meetings, as well as by the Radiation Safety Standards Committee (RASSC), the Transport Safety Standards Committee (TRANSSC), and the Waste Safety Standards Committee (WASSC).

The objective of this draft text, as accepted by the Commission on Safety Standards (CSS), is to provide recommendations and guidance on the application of the concept of exemption within the framework of planned exposure situations in accordance with the requirements of GSR Part 3. The draft is part of the revision of the existing safety guide *Application of the Concepts of Exclusion, Exemption and Clearance* (RS-G-1.7) dealing with the concept of exemption (DS499). A second part, dealing with the concept of clearance (DS500), is also being submitted in parallel for comment.

The two draft safety guides cover similar subject matter to that in RS-G-1.7, but have been updated in line with the experiences of Member States and use the newer concepts and definitions, such as the different exposure situations, established in GSR Part 3. DS499 will be of particular value for regulatory bodies in Member States in applying the relevant requirements in GSR Part 3 relating to the exemption of a source or practice from regulatory control. The current draft also provides guidance on the concept of exclusion and on the application of an exemption approach using screening levels for decision making in existing exposure situations including trade.

The guidance in this publication is aimed primarily at Governments and Regulatory Bodies to assist them in the application of the requirements of GSR Part 3 related to the exemption of sources and practices from regulatory control. It will be of wide interest to all those who intend to handle sources or materials containing radionuclides or radiation generators as well as trade organizations.

Comments are requested in relation to:

- Relevance and usefulness: Are the stated objectives appropriate, and are they met by the draft text?
- Scope and completeness: Is the scope appropriate, and is it adequately covered by the draft text?
- Quality and clarity: Does the guidance in the draft text represent the current consensus among specialists in the field, and is this guidance expressed clearly and coherently?

Specific comments on the following two points are requested:

1. Feedback on the retention of the text dealing with existing exposure situations, including trade, in the draft safety guide DS499. (Agree/disagree)
2. Feedback on whether to merge both documents DS499 (exemption) and DS500 (clearance) or to continue with two separate guides as developed.

Comments of an editorial nature will be considered; however, it should be noted that the draft text will be comprehensively edited by the IAEA Secretariat.

Any comments should be made in English, should refer to the relevant paragraph number in the draft text being reviewed, and should propose alternative text where appropriate. Please use the attached Form for Comments to record all comments.

The responsible IAEA officer is Mr Haridasan Pappinisseri of the Department of Nuclear Safety and Security, who may be contacted for further information in connection with this subject by telephone at: +43 1 2600 22744 or via email at: H.Pappinisseri@iaea.org.

Any comments should be sent through the established official channels to the responsible IAEA officer by **6 July 2021**.

Form for Comments
Application of the Concept of Exemption
(DS499)

COMMENTS BY REVIEWER				RESOLUTION			
Reviewer: Country/Organization:		Page.... of.... Date:					
Comment No.	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/rejection

Statement by the Commission on Safety Standards

Publications in the IAEA Safety Standards Series are prepared and reviewed in accordance with a uniform process. To this end, the Commission on Safety Standards (CSS) and five committees with harmonized terms of reference — the Emergency Preparedness and Response Standards Committee (EPRaSC), the Nuclear Safety Standards Committee (NUSSC), the Radiation Safety Standards Committee (RASSC), the Transport Safety Standards Committee (TRANSSC) and the Waste Safety Standards Committee (WASSC) — have been established. The CSS has a special overview role with regard to the IAEA's safety standards and provides advice to the Director General on the IAEA's overall programme with regard to regulatory aspects of safety.

The uniform preparation and review process involves organizing expert group meetings; arranging at different stages of preparation for the internal review of draft texts; submitting the texts to the relevant Committee(s) for review; submitting draft texts to the IAEA's Member States for comment; and submitting the approved final draft of the safety standard¹ for endorsement by the CSS before publication.

The CSS stresses the importance of Member States' comments to the preparation and review process for safety standards. Publications in the IAEA Safety Standards Series not only should be of the requisite quality but also should represent the consensus view of the Member States and should address the issues of importance to the Member States. While the CSS, the Committees and the Secretariat strive to provide safety standards that satisfy these criteria, the review of draft standards by experts in the Member States is an essential stage in obtaining the broadest possible technical consensus and the highest possible quality and relevance.

Member States are also encouraged to provide the IAEA with feedback on their use of the safety standards. The status of safety standards extant and in preparation can be seen on the IAEA's website, where there are also links to electronic files for existing publications, including those in other official languages.² The responsible IAEA officer is Mr Dominique Delattre, Head of the Safety and Security Publications Unit of the Department of Nuclear Safety and Security. He may be contacted for further information in connection with this subject by telephone at: + 43 1 2600 22696 or via email at: D.Delattre@iaea.org.

¹ Safety Guides are published under the authority of the Director General. Safety Fundamentals and Safety Requirements publications require the approval of the Board of Governors, after endorsement by the CSS.

² See <http://www-ns.iaea.org/committees/files/CSS/205/status.pdf>.