

International Guideline for Transboundary Shipments of Irradiated Sterile Insects



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PREAMBLE

A Consultants Group Meeting was held to prepare an International Guideline of Transboundary Shipment of Sterile Insects, and to draft an International Standard on Phytosanitary Measures (ISPM) for Transboundary Shipment of Sterile Insects to be submitted to the Commission of Phytosanitary Measures (CPM) of the IPPC in 2023. The meeting took place in Vienna at the Joint FAO/IAEA Centre of Nuclear Techniques in Food and Agriculture, from 13 to 17 June 2022.

The Consultants Group (see Appendix 1) followed-up on a recommendation given in 2001 by a similar group of consultants, to draft an ISPM aimed at facilitating transboundary shipments of sterile insects. This as a response to the growing interest and demand from National Plant Protection Organizations (NPPOs), Veterinary Services and Public Health Organizations of FAO and IAEA Member States for alternatives to pesticide use and the increasing interest, also from the private sector, in the application of the sterile insect technique (SIT).

As the SIT becomes more commercial, the need for guarantees that the sterile insects can be safely and legally shipped is essential to encourage financial investments in commercial sterile insect mass-rearing facilities. Also, international regulations are required to reduce the need for independent development of national regulations that may hinder the insect control programmes.

This document contains guidelines for transboundary shipment and importation of irradiated sterile insects (either as a consignment in transit or for entry in the country of destination). Producers/shippers and importers of sterile insects may be private businesses as well as government, parastatal, joint venture or international organizations.

It is suggested to keep the Joint FAO/IAEA Insect Pest Control Sub-Programme informed of any difficulties in compliance with the procedures or gaps in understanding of the procedures. Contact with the Joint Sub-Programme can facilitate awareness of new developments in operation procedures available in guidelines and manuals (Contact us: W.R.Enkerlin@iaea.org).

1. Introduction

The sterile insect technique (SIT) has been successfully used in large-scale programmes to prevent, contain, suppress, and eradicate insect pests in many countries throughout the world. Since the SIT is species specific, it enables pest control without the risk of introducing potentially invasive insect species into ecosystems, threatening their biodiversity. Unlike insecticides and other control methods, efficiency of SIT increases as the population density of the target pest decreases.

This method relies on the sustained and area-wide release of large numbers of sterile insects over areas infested or at risk of infestation with the target pest. Before release, sterile insects are mass-reared, sterilised, packed, shipped, been fed and matured, and are then loaded into delivery vehicles for aerial or ground release.

One such pest prevention programme is the Mediterranean Fruit Fly Preventive Release Programme in California, the United States of America (USA), in which sterile Mediterranean fruit flies or 'medflies' (Ceratitis capitata) are released weekly, by air, over large areas at risk of introduction of this invasive pest. Sterile insects have also been used in biological containment barriers, such as the medfly barrier located along the Mexico-Guatemala border. Over one billion sterile medflies are released along this border every week to prevent their spread to the medfly-free areas north of the barrier. In the Okanagan-Kootenay Sterile Insect Release (OKSIR) programme to suppress codling moth (Cydia pomonella) in Okanagan, British Columbia, Canada, sterile moths are continuously released over apple orchards to manage the pest, as a safe, eco-friendly replacement for organophosphate insecticides. To eradicate the New World screwworm (Cochliomyia hominivorax) from Central America, sterile flies were released throughout the whole region. The SIT method has also been used to eradicate tsetse (Glossina austeni) populations on Unguja Island, Zanzibar, between 1994 and 1997. An operation to eradicate Glossina palpalis gambiensis in Senegal is ongoing. The SIT approach is also being used to eradicate incipient outbreaks of invasive pest species, such as the cactus moth (Cactoblastis cactorum) in the Yucatan Peninsula, Mexico, the Australian painted apple moth (Teia anartoides) in New Zealand, a major medfly outbreak in the Dominican Republic in 2017, a major recent outbreak of the New World screwworm in the Florida Keys, USA, in 2018 and in Libya in 1992.

The return on investment provided by past and current SIT programmes is unquestionable, with benefit-to-cost ratios ranging from US\$ 2.80 for each dollar invested in the case of a medfly population suppression programme in South Africa, to as much as 1,000 to 1 in the case of a medfly prevention programme in Chile (Enkerlin 2021).

The SIT has been applied for more than 65 years against several insects which are key plant pests, such as fruit flies and moths, and key livestock pests, some of which are vectors of zoonotic diseases, including New World screwworm and tsetse (Enkerlin and Pereira 2022 in press). More recently, the SIT package has been developed against *Aedes aegypti* and *Aedes albopictus* mosquitoes, vectors of human diseases such as dengue, chikungunya and Zika. Pilot trials are under way in several countries (Bouyer et al. 2020; Lees et al. 2021).

Regulated insect species are being suppressed and/or eradicated through the SIT. While the majority of insect species being treated with SIT are regulated, the fact that they are sterile prevents establishment and therefore eliminates the risk. The optimal level of sterility is species specific and is achieved by following established operating procedures.

The SIT presented in this guideline specifically uses ionising radiation – gamma rays, X-rays, or electron-beam (e-beam) processing – to sterilise mass-reared insects of the target pest population so that they cannot produce offspring when they are released in the field and mate with their wild counterparts. Insects sterilised using ionising radiation do not have any residual radiation.

Transboundary shipments of irradiated sterile insects have taken place on a regular basis since the SIT was first developed. Currently, this includes tephritid fruit fly pests, moths, new world screwworm,

tsetse and mosquitoes. The SIT is under development for other species that may be included in future transboundary shipments. In 2022, the total number of sterile insects shipped was estimated at over 1 trillion in more than 20,000 shipments to 32 recipient countries from 24 sterile insect production facilities. During a period of almost 60 years, only very few problems associated with shipping live sterile insects across borders have been recorded. This includes one case in 2003 of non-irradiated New World screwworm that were shipped to a specific location for field release. There were no significant consequences because of the effective implementation of a contingency plan (For history of Transboundary Shipments of Sterile Insects from 1963-2022 see Appendix 2. For further updates see:

https://nucleus.iaea.org/sites/naipc/dirsit/SitePages/HISTORY%200F%20TRANSBOUNDARY%20SHIPMENTS%200F%20STERILE%20INSECTS.aspx?WikiPageMode=Edit&InitialTabId=Ribbon.EditingTools.CPEditTab&VisibilityContext=WSSWikiPage).

There is no report of any shipment of sterile insects having been rejected by plant protection or animal health authorities.

The objective of this guideline is to facilitate the formulation of more appropriate and harmonized regulatory frameworks for safe and timely transboundary shipments of irradiated sterile insects for SIT development and application.

2. Scope

This guideline is intended to assist competent authorities, organizations and facilities shipping insects sterilized using ionising irradiation to follow established operating procedures thus assuring safe shipment while facilitating transboundary shipments.

3. Hazard Analysis

The probability of hazards occurring from transboundary movement of sterile insects is negligible if established operating procedures are followed (Figure 1). Detailed information available in: https://www.iaea.org/sites/default/files/guideline-for-packing-sept2017.pdf.



Figure 1. Irradiation of fruit fly pupae following established operational procedures. (©FAO/Programa Moscamed Mexico, Guatemala, USA.

The sterile insect production and post-production process may be reviewed to ensure compliance with established operating procedures. Sterile insect production facilities operate under very high standards. Even when it is not a requirement for insect mass-rearing, some facilities have pursued an ISO9001 Certificate (Figure 2). Some countries do not have specific regulations or a clear pathway to accept shipment of sterile insects, others only require labelling and documentation, and still others are regulating sterile insects under their biological control measures.



Figure 2. ISO9001 granted to the sterile Mediterranean fruit fly production facility in Guatemala. (©FAO/Programa Moscamed Mexico, Guatemala, USA).

4.Operational Procedures

4.1 Packing at Mass-Rearing Facility for Long Distance Shipping

After irradiation sterile pupae or adults are placed in a container such as a carton box, polyethylene bag or a petri dish and are loaded into secure styrofoam or cardboard shipping boxes for long distance transboundary transportation to release centres or release areas. Although in general terms packing and shipping procedures are similar, there are some differences depending on the sterile insect species.

Fruit flies

As an example, the shipping box used to hold the 4-litre bags of fruit fly pupae is constructed of double-walled corrugated cardboard of 74 x 34 x 34 cm with a top and bottom full overlap. Inside the box, a central compartment, 46 cm long, is lined with additional layers of corrugated cardboard. Nine bags of pupae are placed lengthwise within this central compartment in three layers of three bags each. Layers, as well as bags within a layer, are separated by spacers of double- and single-wall corrugated cardboard, respectively. The space remaining at either end of the box (\approx 10 cm of the length of the box) is used to hold cooling units. These can be cooling units (hydrogel) prepared at the packing facilities, or using two packs of "blue ice", wrapped in newspaper (Figure 3a).



Figure 3. a) Inside view of a box used to ship sterile Medfly pupae from Guatemala Moscamed rearing facility, b) Inside view of a box used to ship Queensland fruit fly in Australia. (©FAO/Programa Moscamed Mexico, Guatemala, USA/Queensland Fruit Fly Program Australia).

According to the capacity of the cardboard box, temperature must be kept at $16 - 20^{\circ}$ C. In Australia 2-litre bags of pupae are placed in a cardboard carton, with ten of these cartons in a Styrofoam box (Figure 3b). In Argentina, a cardboard box of 42,5 x 33 x 27 cm and a Styrofoam box inside with 7 plastic bags of 2.8 L pupae per bag is used (FAO/IAEA/USDA. 2019).

Once full, a box is sealed with carton staples (placing staples in locations where they will not hit the bags of pupae) and two bands of fibre-reinforced plastic adhesive tape (Figure 4).



Figure 4. Sealed boxes used for shipping sterile medfly pupae from Guatemala Moscamed rearing facility. (©FAO/Programa Moscamed Mexico, Guatemala, USA).

Moths

As an example, sterile adults of the False codling moth (*Thaumatotibia leucotreta*) are packed in a cardboard box, $14 \times 13.2 \times 5.2$ cm, containing ca. 15000 individuals. To keep moth nonactive, low temperature of 4 - 8 °C should be kept in long transboundary shipment. Moth boxes are placed in two Styrofoam containers, with cooling units within the internal and exterior containers. This form allows cool chain of 72 hours. Since flight ability of moth is affected directly by scales lost, keeping the insects in cool environment to prevent movement is crucial before release.

In the case of the Codling moth (*Cydia pomonella*), 50 petri dishes containing each approximately 23 g of sterile moth adults are packed in a polyurethane cooler box with icepacks wrapped in newspaper surrounding the box. The cooler box is then placed in a cartoon box with proper labels (Figure 5). Data shows that airfreighting (67 to 89 hours in duration) at temperatures near 0 Celsius appears to have little detrimental effect on quality including moth emergence, longevity, and ability to mate (Blomefield et al. 2011).



Figure 5. Sealed box used for shipping sterile Codling moth adults from British Columbia, Canada, to New Zealand. (©FAO/OKSIR Facility Osoyoos, British Columbia, Canada).

Tsetse Flies

Tsetse flies are usually transported as pupae, either chilled at 8-10°C just before emergence between 28-31 days post larviposition, or between day 25-30 post larviposition at ambient temperatures of 20-22°C if pupae were sorted with the Near Infrared Pupae Sex Sorter (NIRPSS). The irradiated pupae are placed in petri dishes or carton boxes (Figure 6) and transported in insulated shipping boxes containing phase change material packs (PCM) (Figure 7), see detailed description of the packaging protocol in https://www.iaea.org/sites/default/files/21/06/nafa-ipc-manual-long-distance-shipment-tsetse-pupae.pdf. For example, in the packing system that was used in 2012 for the shipment of irradiated tsetse pupae from Bobo-Dioulasso to Dakar, eight PCM packs maintained the temperature inside the shipment box at around 10 °C for up to 4 days (Pagabeleguem et al. 2015) (Figure 8).

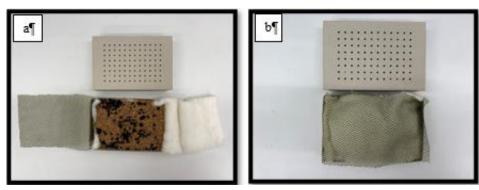


Figure 6. a) Open transport boxes showing tsetse pupae with sawdust partly wrapped with cotton wool and netting. b)

Partly closed transport box showing final wrapping of cotton wool and netting.

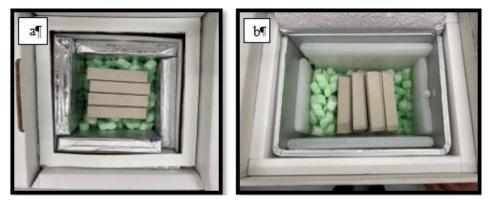


Figure 7. a) Inside view of a box used for chilled pupae shipment conditions, b) Inside view of a box used to ship pupae at ambient temperatures.

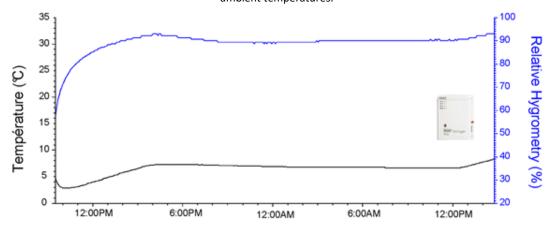


Figure 8. Temperature and relative humidity recorded inside the insulated transport box with a data logger during a pupal shipment of mature irradiated tsetse pupae from Bobo-Dioulasso to Dakar. (Pagabeleguem et al. 2015).

Mosquitoes

In mosquitoes, chilled adult irradiated males are transported within insulated boxes containing phase change material packs (PCM) keeping the temperature in a range of 8-15°C, together with humidity control packs to maintain the relative humidity at 70 - 75%. Sterile males are packed at about 100 males/cm³ in containers such as diamond painting storage boxes (DiamondPaintingsx.ch, Switzerland) attached in 4 rows (Figure 9). Each individual box (2.5 x 2.3 x 2.3 cm) has a press down lid that ensures that the lids do not come off. Each single box contains about 1300 sterile males. These individual boxes are placed in a larger closed box itself placed within an insulated box. Three levels of containment are actually required for their transport to avoid any possible escape, while allowing possible inspection activities by the sanitary authority or customs thanks to the transparency of the boxes (Figure 9).





Figure 9. Transport boxes used for the trans-boundary shipment of sterile male mosquitoes. (© Hamidou Maiga, IAEA).

4.2 Labelling

All boxes are properly labelled with the words: "Fragile" and/or "Biological Material". The words "Live Sterile Insects" and indication of the storage conditions ("This Side Up", "Handle with Care", "Keep Cool" or "Do not leave in the sun") should also be present on the boxes (Figure 10).



Figure 10. Sample of label placed on boxes containing sterile medfly pupae shipped from Argentina (Mendoza rearing facility) to Spain (region of Valencia). (©FAO/ISCAMEN).

These words should be adopted as a standard for those programmes using SIT. In all cases, the boxes should be kept under the shade in a cool place. As described in Section 4.1, the minimum temperature at which the boxes containing sterile insects should be kept is different for each species. The boxes should never be kept at freezing temperatures.

To facilitate tracking of consignments, these should have complete information on the location of the addressee and a shipment number. Additionally, boxes for each shipment have to be numbered consecutively in large, clear writing on the outside of the box, e.g. "Shipment 18, Box 3 of 24".

4.3 Shipping time

For transboundary shipment, insects shipped as pupae or adults, are typically carried by commercial airlines in a portion of the cargo hold where temperature and air pressure are held at "cabin" levels. For long distance shipments airline routing should be carefully selected to minimize transhipment points and overall shipment time. Although in certain cases fruit fly pupae have been held under hypoxia for 40 hours, for some insects such as the Mediterranean fruit fly it has been observed that quality begins to drop rapidly when hypoxia extends beyond \approx 24 hours. Use of plastic bottles rather than bags and boxes increases the negative effects of extended hypoxia on insect quality (Figure 11).

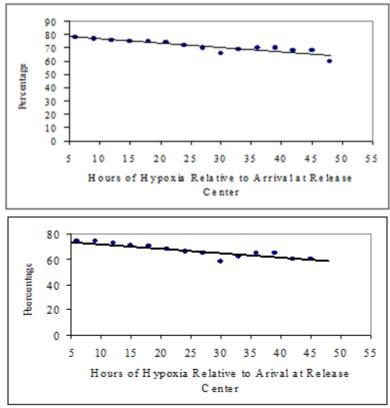


Figure 11. Effects on emergence (a: top) and fliers (b: bottom) of sterile fruit flies from prolonged hours in hypoxia during shipment (FAO/IAEA 2017).

For some adult moths such as the false codling moth using low temperature of 4 - 8 °C for transboundary shipment, allows expansion of transport time up to 60 hours, with minor effect on survival. However other parameters such as mating behaviour might be affected.

5. Normative Procedures

National governments should designate the authority responsible for facilitating safe shipment of sterile insects (either through or to their territory). It is up to the competent authority (e.g., National Plant Protection Organization (NPPOs), Veterinary and Sanitary Services) to coordinate with the producer/shipper and importer regarding their responsibilities for achieving secure and timely shipment.

5.1. Responsibilities of the producer/shipper of the sterile insects

The producer/shipper should:

- Ensure that sterile insects conform to internationally accepted quality control standards (where available) (for fruit flies FAO/IAEA/USDA 2019, FAO 2005) and, otherwise, to established procedures developed by operational programmes.
- Ensure that exported sterile insects conform to the requirements established by the competent authority of the importing country and to the extent the transit route is anticipated and advised by the carrier, of any transit country (Figure 12).
- Ensure that documentation includes instructions to handlers and officials at the point of entry on how the package should be treated. This is to avoid damage to the contents and on action to be taken if the packaging is breached. Documentation should also indicate whether it may be opened for Customs inspection (Figure 13).
- The producer/shipper should give advance notice with full details of routing to the importer/receiver to minimize delays and to alert officials at the point(s) of entry.



Figure 12. Transit documents for shipment of sterile medfly pupae from Guatemala to Israel through the Netherlands. (©FAO/Programa Moscamed Mexico, Guatemala, USA).

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Figure 13. Sample of Air Waybill indicating handling procedures for sterile insect packages.

Box 1. The need for official documentation

Historically, international supplies of sterile insects for SIT programmes have been based on government to-government arrangements, motivated by the importing country's official control programmes. Over more recent years, as commercial shipments and end-user involvement has increased, national authorities are seeking some form of assurance that consignments of sterile insects coming into their country are safe. In terms plant and animal health mand ates, this focuses on the imported insects not becoming a pest itself – which is mitigated by sterility and in many programmes release of males only – or introducing a vectored disease. For insects that may vector human or zoonotic diseases this includes freedom from that pathogen as well. Freedom from contaminating organisms (e.g., parasites) also is required - in both cases the risk is mitigated by specific procedures applied in the insect mass rearing facilities and during packaging and transport. Countries are requesting different types of documentation to fulfil this purpose, as there is no single, fit-for-purpose certificate that would cover all these scenarios (Quinlan et al. 2022).

The roles and process for managing these requirements for plant pests are laid out in the International Standard for Phytosanitary Measures 3 (FAO 2005), under the International Plant Protection Convention. An NPPO is the competent authority for issuing plant health certifications for export, which may be the paper form or an electronic certificate. Inspections or other steps to demonstrate compliance must be carried out by the NPPO or a different public sector entity, which is technically qualified and duly authorized by the NPPO (ISPM 12). Public sector in this context may be at any level of government. Many of those involved in shipping live insects are not familiar with ISPM 3, however, or the regional frameworks, e.g. in the European Union (Oliva et al. 2022).

In order to align with the model certificate (International Plant Protection Convention Article V.2 (b); ISPM 12, Annex2), national phytosanitary certificates for export may only be used for trade in regulated products (i.e., regulated species, strains or biotypes of insects) and may only make statements related to the mandate of the IPPC, for instance statements about animal health cannot be added. Sterile insects are often used against regulated pest species, but may also be imported for control programmes against insect species that are not officially regulated, e.g. species established in a country where SIT is being used for limited areas or without the framework of official control.

Sanitary Certificates issued by National Veterinary Services have been required by several importing country authorities to attest to the health of the insects. This may be requested for each consignment, or for a particular trade (several consignments from the same company, using the same route, over a specified time). This approach has been used for sterile insect that are animal pests or vectors of animal disease (i.e., screwworm and tsetse). The Terrestrial Animal Code (WOAH 2021), however, has a definition of animal that does not include any insects other than bees (which are covered by WOAH for particular reportable diseases, as explained in Torres et al. 2022). There is no national authority consistently recognised for declaring a vector of human or zoonotic disease as free from pathogens, although the individual production facility should be able to confirm procedures to achieve this status.

The WOAH has encouraged discussion of how to rationalise the use of official certificates for live insect trade (Éloit 2022). Shippers and competent authorities should follow developments under the WOA Hand IPPC, or other relevant international bodies, regarding future use of health certificates for sterile insect shipments.

5.2 Responsibilities of the competent authority of the exporting country

The competent authority in the exporting country should support the producer/shipper as needed by:

- a) clarifying the requirements of the importing country, and if necessary, negotiating how they can be met.
- b) providing a phytosanitary, zoosanitary or sanitary certificate for the shipment as required by the importing country's competent authority, or by the competent authority of any transit country (Figure 14)

5.3 Responsibilities of the competent authority of the importing country

The competent authority of the importing country should:

- a) provide information on all requirements to import sterile insects including instructions and need for advance notice to the first point of contact (e.g., Customs Border Control).
- b) in case of inspection, avoid escape, harm or contamination of the sterile insects.

5.4 Responsibilities of the importer

The importer should:

- a) obtain necessary permits for importing sterile insects from the competent authority of the importing country and as needed, from the competent authority of any transit country.
- notify the producer/shipper and appropriate authorities in the case of a missing or delayed consignment of sterile insects to facilitate tracking the shipment and proper handling when located or if a package arrives damaged.

5.5 Responsibility of the carrier

The role of the carrier is a critical part of the transboundary shipment process of sterile insects. The quality and usefulness of these shipments rely on timeliness and reasonable care of each shipment.

The carrier should:

- seek the most direct and timely routing for shipment
- provide an online tracking process for all shipments
- be aware of and follow the procedures outlined under handling, particularly the ambient temperature requirements for storage of the parcel.

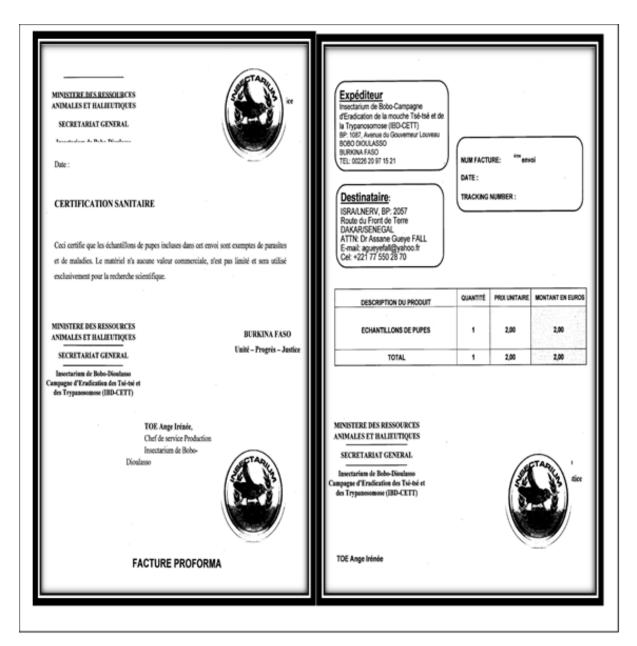


Figure 14. Sample document: Sanitary Certificate for exports of tsetse (Glossina sp) from Burkina Faso to Senegal.

5.6 Shipping documents

Packages should be accompanied by the necessary documentation to guarantee timely and safe delivery. Producers/shippers should be vigilant of the following (see Appendix 3 for examples of documentation):

 a) documentation should conform to relevant regulations of exporting and importing countries and as needed of any transit countries. These will include a customs declaration (see sample 3.4 Appendix 3), commercial invoice, and may include additional documents as required such as export permit and import permit (see samples 3.3 and 3.5 Appendix 3), certificate of origin (Figure 15), national transit permit, phytosanitary, zoosanitary or sanitary certificate, irradiation certificate (Figure 16), labelling and notification.

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Figure 15. Sample of certificate of origin for sterile Mediterranean fruit flies being shipped from Guatemala to Ecuador.

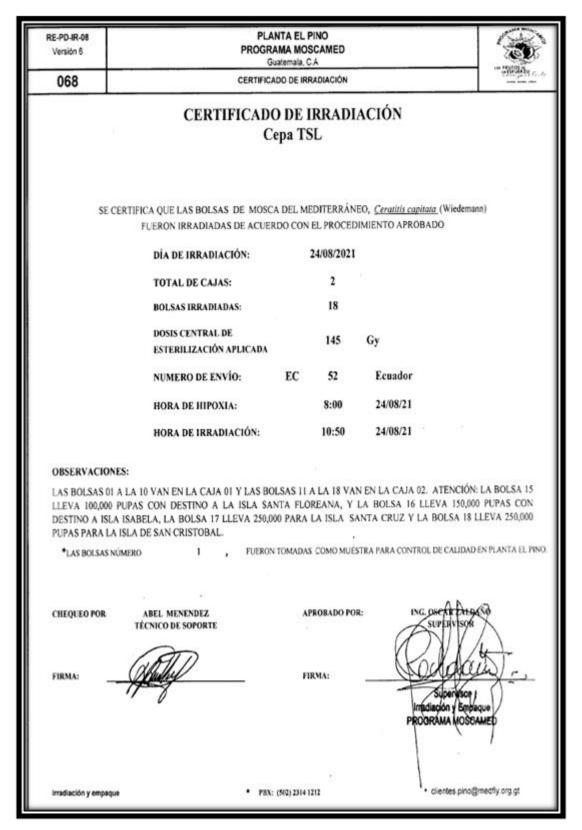


Figure 16. Sample of irradiation certificate for sterile Mediterranean fruit being shipped from Guatemala to Ecuador.

b) documentation should include clear instructions to handlers and officials at the point of embarkment, transhipment and import on how the package should be handled to avoid damage to the contents and on action to be taken if the package is damaged.

- c) documentation should indicate that package content is perishable and therefore rapid transit of sterile insects is necessary.
- d) contact details should be provided to facilitate immediate notification upon arrival, or if the package is delayed or arrives damaged. Documents should include clear instructions to officials at transhipment or entry points to contact producer/shipper and importer.
- e) any other additional documentation to meet contractual requirements for secure and timely shipments.

5.7 Traceability

A system to allow tracing the sterile insect batch throughout the whole process is of primary importance.

The importer may request that for each consignment every box is numbered and include specific forms with detailed shipment information including: litres of pupae (or number of insects), collection number, basic quality control parameters (e.g. pupae weight, pupae/litre). The forms should include the corresponding supervisor signature for the different control points (i.e. irradiation, transportation, reception, quality control). Pupae or adult containers (bags/bottles/box/petri dishes) must include radiation indicators inside or outside the container. Containers should be sealed before irradiation, in order to ensure integrity. For example, in the case of tsetse, the transport box should be accompanied by a document indicating the number and age of the shipped pupae, time of chilling or date of sorting with the NIRPSS, irradiation dates and duration, and dose.

This procedure as a whole will assure traceability of the sterile pupae or adult consignment. A datasheet with a minimum of information is shown as an example in Appendix 4.

5.8 Action in case of non-compliance

In accordance with the competent authority of the countries involved, the type of action in case of non-compliance will vary with circumstances and should be the minimum necessary to counter identified risk. Opportunities should be found to resolve administrative errors.

Other options for non-compliance may require action such as:

Detention/Rejection and return - This may be used if further information is required and not provided, taking into account need to avoid consignment damage as far as possible.

Destruction - Consignment may be destroyed in cases where the competent authority considers consignment cannot be otherwise handled. Before destruction is carried out, the importer and/or producer/shipper should be consulted according to the contact information as described in Section 4.2. Sterile insects are safe for biodegradable destruction.

Any non-compliance should trigger review of procedures and identify corrective actions.

Official action should be notified between competent authorities of each country for example, following ISPM No. 13: Guidelines for the notification of non-compliance and emergency action) (FAO 2021).

5.9 Communications

Producer/shipper, carrier, importer and competent authorities for exporting and importing countries (and transit countries as needed) should ensure that there are functioning communication channels including after normal business hours.

6. Relevant Resources

6.1 Scientific Articles

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6.4 Glossary of terms

Term	Description	Source
Additional	A statement that is required by an importing country	FAO
declaration	to be entered on a phytosanitary certificate and	
	which provides specific additional information on a	
	consignment in relation to regulated pests or	
	regulated articles [ISPM 5]	
Animal	For the purpose of the Terrestrial Code:	World Organisation for
	means a mammal, reptile, bird or bee.	Animal Health (OIE),
		Terrestrial Animal
		Health Code. 29th ed.,
		OIE, Paris (2021).
		(Under review by
		WOAH – September
		2022?)
Carrier	Carrier is a person or business that transports	
	consignment goods.	
Competent	The governmental authority (of a Member Country	
authorities	including sub-national authorities) having the	
	responsibility and competence for overseeing and	

	regulating the transboundary shipments of irradiated sterile insects	
Consignment	One or more items accepted by the carrier from one shipper at one time and at one address, receipted in one consignment and moving on one waybill or shipment record to one consignee at one destination address.	Adapted from ICAO- WCO
Consignments in transit	Consignments in transit are not imported. However, the phytosanitary import regulatory system may be extended to cover consignments in transit and to establish technically justified phytosanitary measures to prevent the introduction and/or spread of pests (Article VII.4 of the IPPC, ISPM 25 (Consignments in transit)). Measures may be required to track consignments, to verify their integrity or to confirm that they leave the country of transit. Countries may establish points of entry, routes within the country, conditions for transportation and time spans permitted within their territories. [ISPM 20, 2019]	FAO
Customs	The Government Service which is responsible for administration of Customs law and the collection of duties and taxes, and which also has the responsibility for the application of other laws and regulations relating to importation, exportation, movement or storage of goods*. * General Annex Chapter 2 of the International Convention on the simplification and harmonization of Customs procedures (as amended), known as the Revised Kyoto Convention.	World Customs Organization. (2018) Glossary. http://www.wcoomd.o rg/en/topics/facilitatio n/instrument-and- tools/tools/glossary-of- international-customs- terms.aspx
Detention	Keeping a consignment in official custody or confinement.	
Established Procedures	Procedures that are used by facilities as standards for the mass rearing and sterilization of insects.	Self-defined for the purpose of this guideline
Import permit	Official document authorizing importation of a commodity in accordance with specified phytosanitary import requirements [ISPM 5, 2022]	FAO Or for other insects remove the term 'phytosanitary'
Irradiation	Treatment with any type of ionizing radiation [ISPM 18, 2003]	FAO
Notification or to notify	Notification is a term that links to a legal requirement to inform a government counterpart when issues arise. The term 'to notify' is used to communicate information which is not a legal requirement	
Packaging	Material used in supporting, protecting or carrying a commodity [ISPM 20, 2004]	FAO
Phytosanitary Certificate	An official paper document or its official electronic equivalent, consistent with the model certificates of	FAO

	the IPPC , attesting that a consignment meets	
	phytosanitary import requirements [ISPM 5]	
	A phytosanitary certificate for export or for re-export	FAO
	can be issued only by a public officer who is	FAU
	technically qualified and duly authorized by an NPPO	
	[ISPM 12, 2022].	
	[13F1V1 12, 2022].	
Producer/Shipp	The term 'producer' indicates either the mass-	
er	rearing facility and/or the irradiation facility of sterile	
G.	insects. The term ' shipper' is often used to describe	
	the entity or individual who initiates the trade in	
	goods. Consignor and shipper are separate roles but	
	can be the same entity or individual.	
Regulated pest	A quarantine pest or a regulated non-quarantine	FAO
	pest [ISPM 5, 2022]	
Sanitary	The certificate usually released by the Veterinary	WOAH Terrestrial Code
Certificate	authority of the exporting country [Article 5.1.3.].	
	The international veterinary certificate should not	
	include measures against pathogenic agents or	
	diseases which are not OIE listed, unless the	
	importing country has demonstrated through import	
	risk analysis. [Article 5.1.2]	
Sterile insect	An insect that, as a result of a specific treatment, is	https://nucleus.iaea.or
	unable to reproduce (FAO 2006).	g/sites/naipc/dirsit/Doc
	An insect incapable of reproduction (Gordh and	uments/sit-glossary-
	Headrick 2001).	updated-9-6-10.pdf
Sterile insect	Method of pest control using area-wide inundative	
technique	release of sterile insects to reduce reproduction in a	https://nucleus.iaea.or
	field population of the	g/sites/naipc/dirsit/Doc
	same species (FAO 2006, Klassen 2021, Robinson	uments/sit-glossary-
	2021). The SIT depends upon inducing a high	updated-9-6-10.pdf
	proportion of sterile matings in a natural population	
	that reduces reproduction to a level below	
	population maintenance (Parker 2021). A genetic	
	control technique used to control or eradicate pest	
	insects. Large numbers of mass-produced males are	
	given non-lethal but sterilizing doses of radiation	
	and then released. Females in natural populations	
	mate with the sterilized	
	males, and produce inviable progeny. After multiple	
	releases a new generation is not produced (Hoy 2003, King et al. 2006, Coppel and Mertins 1977,	
	Daly et al. 1998, Bijlmakers 2008, NAL 2008).	
	A process in which insects are reared in massive	
	numbers, sterilized, and released to prevent normal	
	mating in target populations (Resh and Cardé 2003).	
	A method of insect control in which laboratory-	
	propagated insects are irradiated to the point of	
	sterility and then released into the environment to	
	compete for males with conspecifics in feral	
	populations (Gordh and Headrick 2001).	
	populations (Goran and Headrick 2001).	

	Sterile male technique. A method of insect control,			
	in which sterile males are introduced to a			
	community of insect pests to control population			
	growth (Coombs and Hall 1998).			
Traceability	It is based on documentation and other evidence by			
	which a product can be traced from an importer all			
	the way back through the chain of custody to the			
	producer/shipper from which it originated.			
Tracked	System to inform the shipper or others in real time as			
	to the location of a specific package during shipment,			
	usually accessible online			
Transit Country	Means a country through which commodities	OIE		
	destined for an importing country are transported or			
	in which a stopover is made at a border post.			
Waybill	A document prepared by or on behalf of a shipper	Adapted	from	ICAO-
	that evidences the contract between the shipper and	WCO		
	carriers of goods over routes of the operator(s).			
	Waybills have several purposes, but their two main			
	functions are as a contract of carriage (behind every			
	original waybill are the conditions of contract for			
	carriage), and as evidence of the receipt of goods.			
Tracked Transit Country	which a product can be traced from an importer all the way back through the chain of custody to the producer/shipper from which it originated. System to inform the shipper or others in real time as to the location of a specific package during shipment, usually accessible online Means a country through which commodities destined for an importing country are transported or in which a stopover is made at a border post. A document prepared by or on behalf of a shipper that evidences the contract between the shipper and carriers of goods over routes of the operator(s). Waybills have several purposes, but their two main functions are as a contract of carriage (behind every original waybill are the conditions of contract for	Adapted	from	ICAO-

APPENDIXES

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Appendix 2 – Table of transboundary shipments¹

Year	Species	Site of production	Approximate amount shipped (million pupae/adults)	Recipient	Purpose
	Mexican truit	Mexico (Monterrey and Metapa)	2511	USA (Texas and San Diego)	To assist the California and Texas Department of Agriculture in the eradication of Mexican fruit fly outbreaks
	Mediterranean fruit fly, Ceratitis capitata	Argentina (Mendoza), Austria (Seibersdorf), Chile (Arica), Costa Rica, Guatemala (El Pino), Israel (Biofly), Mexico (Metapa), Portugal (Madeira), Spain (Valencia), USA (Hawaii)	913,851.5	Argentina (Patagonia), Belice, Bolivia (Cochabamba), Chile, Croatia, Ecuador, Italy (Procida), Greece, Guatemala, Honduras, Israel, Jordan, Mexico, Morocco (Agadir and Berkane), Nicaragua, Peru (Tacna), Spain (Canary Islands), Tunisia, USA	For prevention, containment, suppression and eradication of the Mediterranean fruit fly
1990- 1991	New World Screwworm (NWS) Cochliomyia	Mexico (Tuxtla Gutierrez, Chiapas), Panama (COPEG)	108,994	Central American countries (7 countries), Lybia (Tripoli), USA (Florida)	To eradicate NWS from Central America To assist the eradication of NWS outbreaks in Lybia and Florida, USA
	'	France (Maison Alfort, IEMVT)	0.016	Tchad (Njamena)	Adult irradiated males for research on SIT trials
1990- 2021	Tsetse (Glossina spp.)	Austria (Seibersdorf), Slovakia	25.5	Tanzania (Tanga) Senegal (Dakar)	To assist Tsetse eradication from Tanzania and Senegal
xxx- 2022	Tsetse (Glossina palpalis gambiensis)	Burkina Faso (IBD)	Unknown	Senegal (Dakar)	To assist Tsetse eradication from Senegal

2021-	(Thaumatotibia eucotreta)	South Africa Total	0.9 1 025 385.1	Israel	For SIT pilot validation
2021-	False codling moth	(2 2 3 3 3 3 3 3 7)		Senegal	suppression trial
	Mosquitoes (Aedes aegypti)	Austria (Seibersdorf)	0.476	La Reunion, France (St Denis), Dakar,	for research and a
	Mosquitoes (Aedes albopictus)	Italy	2.0	Albania, Montenegro, Germany, Greece	Field studies and suppression pilot trials
	Codling moth (<i>Cydia</i> pomonella)	Canada (Osoyoos)	Unknown	New Zealand, South Africa, USA	For SIT pilot validation
2001- 2014	Pink bollworm (Pectinophora gossypiella)	USA (Arizona)	Unknown	Mexico (Northern States)	Regional (USA and Mexico) eradication of the pink bollworm
2008	Cactus moth (Cactoblastis cactorum)	USA (Florida)	0.0213 ²	Mexico (Yucatan Penninsula)	Eradication of incipient cactus moth outbreaks

¹Numbers are estimates from information available from mass rearing facilities in operational SIT programmes and rearing facilities in other institutions.

²21,398 sterile moths were released to eradicate incipient outbreaks in Isla Mujeres and Isla Contoy of the coast of the Yucatan Peninsula in Mexico.

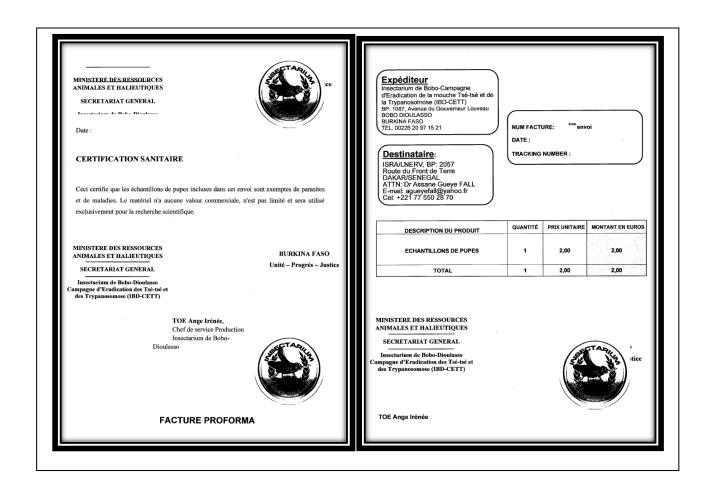
Appendix 3 - Samples of Shipping Documents

3.1 Model of a Phytosanitary export (ISPM 12 Phytosanitary Certificate).

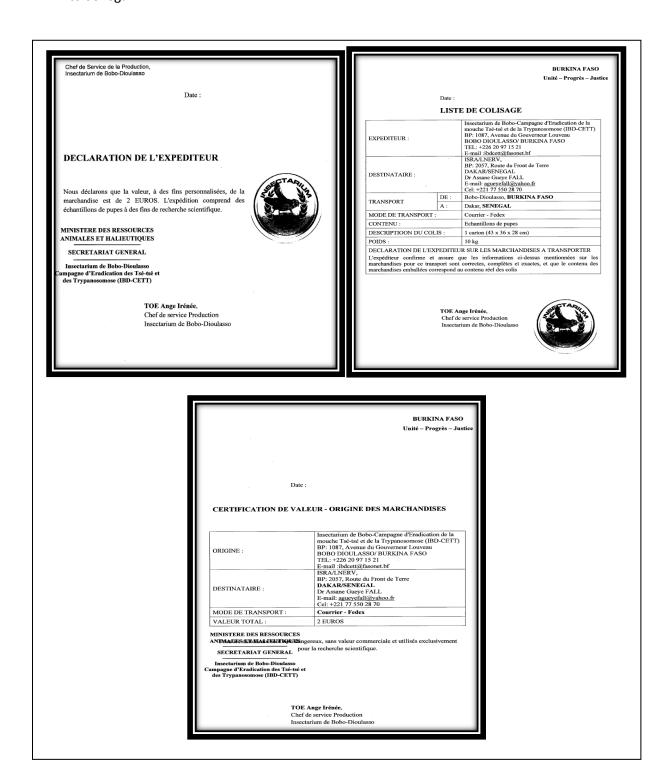
48/8/8/8/7 8 7 7 7 7	This annex is a prescriptive part of the standard.	
ANNEX 1: Model phytosa	unitary certificate for export	
[Original annexed to the IPP0	²]	
	No.	
Plant Protection Organization	of	
TO: Plant Protection Organiza	tion(s) of	
	I. Description of Consignment	
Name and address of exporter	r:	
Declared name and address o	f consignee:	
	ckages:	
Place of origin:		
Declared means of conveyand	pe:	
	/ declared:	
Botanical name of plants:		
phytosanitary requirements o	ed by the importing contracting party and to conform with the of the importing contracting party, including those for regula	
phytosanitary requirements o	of the importing contracting party, including those for regula cally free from other pests.*	
phytosanitary requirements o quarantine pests.	of the importing contracting party, including those for regula cally free from other pests.* II. Additional Declaration	
phytosan itary requirements o quarantine pests. They are deemed to be practio	of the importing contracting party, including those for regula cally free from other pests.* II. Additional Declaration [Enter text here]	
phytosanitary requirements of quarantine pests. They are deemed to be praction III. D	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Entertext here] Disinfestation and/or Disinfection Treatment	ted non
phytosanitary requirements of quarantine pests. They are deemed to be practic III. D Date Treatment	of the importing contracting party, including those for regula cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient)	ted non
phytosanitary requirements of quarantine pests. They are deemed to be practice. III. D Date Treatment Duration and temperature	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient)	ted non-
phytosanitary requirements of quarantine pests. They are deemed to be practic. III. D Date Treatment Duration and temperature Concentration	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient)	ted non-
phytosanitary requirements of quarantine pests. They are deemed to be practic. III. D Date Treatment Duration and temperature Concentration	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient)	ted non-
phytosanitary requirements of quarantine pests. They are deemed to be practic. III. D Date Treatment Duration and temperature Concentration	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient)	ted non-
phytosanitary requirements of quarantine pests. They are deemed to be practic. III. D Date Treatment C oncentration Additional information	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient) Place of issue	ted non-
phytosanitary requirements of quarantine pests. They are deemed to be practic. III. D Date Treatment Duration and temperature Concentration	of the importing contracting party, including those for regular cally free from other pests.* II. Additional Declaration [Enter text here] Disinfestation and/or Disinfection Treatment Chemical (active ingredient) Place of issue Name of authorized officer	ted non-
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Plant Protection Organization of		This annex is a prescriptive part of the standard.	
No Plant Protection Organization of	ANNEX 2: Model phytos	anitary certificate for re-export	
Plant Protection Organization of	2 0		
Plant Protection Organization of	[Original arriesea to the 111	- J	
Description of Consignment	Die d Beste die e Oese eindie	-d	No
I. Description of Consignment Name and address of exporter: Declared name and address of consignee: Number and description of packages: Distinguishing marks: Place of origin: Declared point of entry. Name of produce and quantity declared: Botanical name of plants: This is to certify that the plants, plant products or other regulated articles described above			
Name and address of exporter: Declared name and address of consignee: Number and description of packages: Distinguishing marks: Declared means of conveyance: Declared point of entry. Name of produce and quantity declared: Botanical name of plants: This is to certify that the plants, plant products or other regulated articles described above	10. Flant Protection Organiz	ation(s) or (contracting p	arty(les) of milport)
Declared name and address of consignee: Number and description of packages:		I. Description of Consignment	
Number and description of packages: Distinguishing marks: Place of origin: Declared means of conveyance: Declared point of entry: Name of produce and quantity declared: Botanical name of plants: This is to certify that the plants, plant products or other regulated articles described above were imported into (contracting party of re-export) from (contracting party of origin) covered by Phytosanitary certificate No			
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This is to certify that the plants, plant products or other regulated articles described above	Name of produce and quantit	y declared:	
were imported into (contracting party of re-export)	Botanical name of plants:		
III. Disinfestation and/or Disinfection Treatment Date Treatment Chemical (active ingredient) Duration and temperature Concentration Additional information Place of issue (Stamp of Organization) Name of authorized officer Date (Signatulation of the financial liability with respect to this certificate shall attach to (name of Pterotection Organization) or to any of its officers or representatives.**	to the risk of infestation or inf	ection. ooxes	ot been subjected
DateTreatmentChemical (active ingredient)		[Enter text here]	
Duration and temperature	III.	Disinfestation and/or Disinfection Treatment	
Concentration	DateTreatment _	Chemical (active ingredient)	
Concentration	Duration and temperature		
Place of issue	Concentration		
(Stamp of Organization) Name of authorized officer	Additional information		
(Stamp of Organization) Name of authorized officer		Diagram diagram	
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3.3 Sample document: Sanitary Certificate for exports of tsetse (*Glossina sp*) from Burkina Faso to Senegal.



3.4 Sample document: Customs declaration for exports of tsetse (*Glossina sp*) from Burkina Faso to Senegal.



3.5. Sample of import permit for tsetse (Glossina sp) issued by the Veterinary Services of Senegal.

REPUBLIQUE DU SENEGAL

Un Peuple - Un But - Une Foi

№01451/MEPA/DSV

MINISTERE DE L'ELEVAGE ET DES PRODUCTIONS ANIMALES

DIRECTION DES SERVICES VETERINAIRES

Dakar, le 2 1 AOUT 2017

AUTORISATION D'IMPORTATION DE PUPES IRRADIEES DE GLOSSINES

Je soussigné, Dr. Mbargou LO, Directeur des Services vétérinaires, autorise le Laboratoire national de l'Elevage et de Recherches vétérinaires de Dakar, à importer de la Slovaquie, des pupes irradiées de *Glossina papalis gambiensis*.

Lesdites pupes, fournies par l'Agence internationale de l'Energie atomique (AIEA) dans le cadre du Projet de lutte contre la mouche tsé-sté dans la zone des Niayes, seront acheminées hebdomadairement par lots de 5000 à 20 000 pour la période du 21 août 2017 au 20 août 2018.

En foi de quoi, la présente autorisation est établie pour servir et valoir ce que de droit.

Ampliations:

- MEPA/CAB (ATCR)
- SVPA/SREL Dakar

Appendix 4 SAMPLE DATA SHEETS FOR SHIPMENT OF STERILE INSECTS

A copy of this datasheet should be present within each box of the consignment.

Name and address of the facility (origin):							Name and address of the recipient:						
Consignment General Information													
Irradiation date:	I							Irradiation dose (Gy):					
Packing date:	Shipping date:												
Total No of boxes:	Total weight (kg):												
1	Box Number within the Consignment												
Elements	1	2	3	4	5	6	7	8	9	10	Total	Observations	
Number of sterile insect containers inside the box ¹											а		
Weight (kg)											b		
Number of sterile insect containers with radiation sensitive indicator											С		
Number of indicators that were exposed to the recommended dose ²											d		
Number of indicators countersigned at the origin, after irradiation											е		
Observations:											•		
Authorization: (a) Ideally a=c=d=e (b) This value shoul (d) Should it differ	ld be											ed	

¹ Plastic bags, "sausages" or other

² "Visual determination"