

IAEA INCIDENT AND TRAFFICKING DATABASE (ITDB)

Incidents of nuclear and other radioactive material out of regulatory control

2017 Fact Sheet

The IAEA Incident and Trafficking Database (ITDB) system assists the IAEA's Secretariat, participating States and selected international organizations in improving nuclear security. The ITDB staff maintains a growing collection of authoritative information, reported by participating States, on incidents involving illicit trafficking and other unauthorized activities involving nuclear and other radioactive materials. This information is disseminated through the IAEA to participating States and certain international organizations. Reporting to the ITDB is voluntary. As of 31 December 2016, 134 States were participating in the ITDB programme. Gabon, Libya and Swaziland joined the ITDB as participating states in 2016. The ITDB receives authoritative information on incidents as reported by States through their officially nominated Points of Contact.

The ITDB is an essential component of the information management systems that supports the implementation of the IAEA Nuclear Security Plan.

Scope of the ITDB

The ITDB System was established in 1995 to record incidents of illicit trafficking in nuclear and other radioactive material. It incorporates incidents in which nuclear and other radioactive material is out of regulatory control.

The ITDB scope covers all types of nuclear material as defined by the Statute of the Agency (i.e. uranium, plutonium and thorium), naturally occurring and artificially produced radioisotopes and radioactively contaminated material, such as scrap metal. States are also encouraged to report incidents involving scams or hoaxes where material is purported to be nuclear or otherwise radioactive.

Communication with participating States is maintained through the network of national Points of Contact (POC). The ITDB System receives information from POCs on incidents ranging from illegal possession, attempted sale and smuggling to unauthorized disposal of material and discovery of lost radioactive sources.

The Secretariat reviews all incidents with a view to identifying common threats, trends, and patterns; to assist States in determining what actions may need to be taken with respect to particular events or to help formulate policy towards combating illicit trafficking of such materials; and support the Agency's nuclear security activities.

Confidentiality and security of ITDB information

The ITDB is a resource for information sharing among State Authorities and the IAEA. In order to protect the confidentiality of information reported by Member States, the ITDB upholds strict procedures for handling and dissemination of sensitive information. Information on reported incidents is only communicated via the POC network. Access to the complete database is limited to a small number of IAEA staff. The information in this fact sheet represents a cross-section of the aggregated ITDB data that has been made available for the public domain.

New Conceptual Framework

In 2015, the POCs approved a Conceptual Framework and an associated change to the grouping of incidents in the database. Since 2016, the ITDB has been using the following groups of the incidents:

- Group I: incidents that are, or are likely to be, connected with trafficking or malicious use;
- Group II: incidents of undetermined intent; and

- Group III: incidents that are not, or are unlikely to be, connected with trafficking or malicious use.

In this 2017 Fact Sheet, all incidents have been grouped in accordance with the new group structure. This means that the graphs and figures presented in the 2017 Fact Sheet cannot be directly compared to information reported in previous years.

ITDB highlights 1993–2016

In 2016, 189 incidents were reported to the ITDB by 34 States indicating that unauthorized activities and events involving nuclear and other radioactive material, including incidents of trafficking and malicious use, continue to occur.

As of 31 December 2016, the ITDB contained a total of 3068 confirmed incidents reported by participating States since 1993. Of these 3068 confirmed incidents there are 270 incidents that involved a confirmed or likely act of trafficking or malicious use (Group I), 904 incidents for which there is insufficient information to determine if it is related to trafficking or malicious use (Group II) and 1894 incidents that are not related to trafficking or malicious use (Group III).

Group I: Incidents of trafficking or malicious use, 1993–2016

Incidents in this group are those for which there is sufficient information to determine that the incident is connected with trafficking or malicious use. This group also includes scams and frauds as such acts may indicate the intent to acquire or provide nuclear and/or other radioactive material, in particular, for trafficking or malicious use.

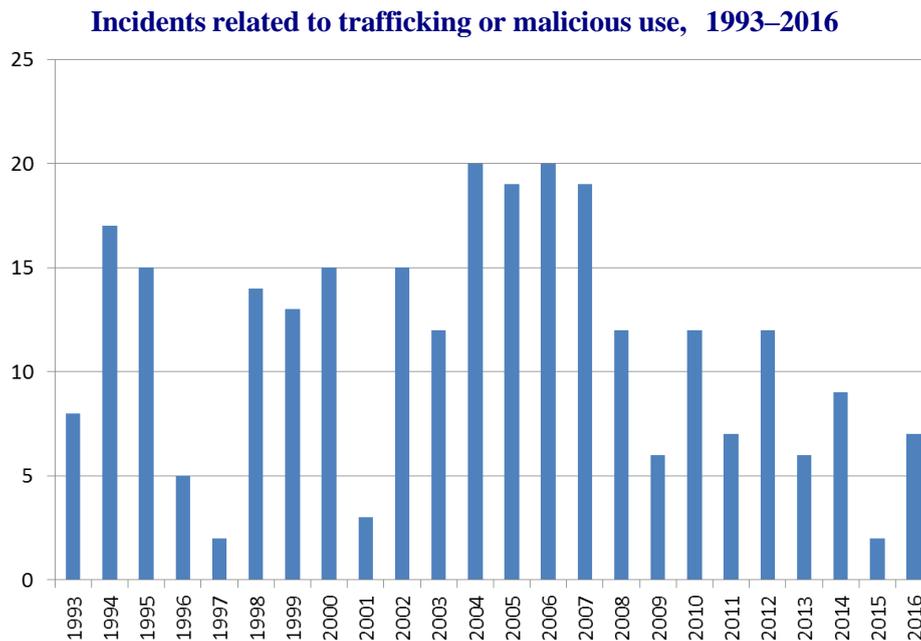


Figure 1 Incidents reported to the ITDB that are confirmed, or likely, to be connected with trafficking or malicious use, 1993–2016.

The number of incidents reported to the ITDB related to trafficking or malicious use has declined slightly over recent years. In the period between 1993 and 2016, confirmed incidents in this group included high enriched uranium (12), plutonium (2), and plutonium beryllium neutron sources¹ (4).

A small number of these incidents involved seizures of kilogram quantities of potentially weapons-usable nuclear material, but the majority involved gram quantities. In some of these cases, there

¹ Incidents involving plutonium-based smoke detectors are counted separately and totaled 10 in Group I.

were indications that the seized materials were samples from larger unsecured stockpiles. Some of these incidents involved attempts to sell or traffic these materials across international borders.

Incidents involving attempts to sell nuclear or other radioactive material indicate that there is a perceived demand for such material. The number of successful transactions is not known and therefore it is difficult to accurately characterize an ‘illicit nuclear market’. Where information on motives is available, it indicates financial gain to be the principal incentive behind the majority of events. Many trafficking incidents could be characterized as ‘amateur’ or opportunistic in nature, as demonstrated by ad-hoc planning and a lack of resources and technical proficiency. However, there are a few significant cases that appear more organized, better resourced and that involved perpetrators with a track record in trafficking nuclear/radioactive material.

Group II: Incidents of undetermined intent, 1993–2016

Incidents included in this group are those for which there is insufficient information to determine whether the incident is either connected or unconnected with trafficking or malicious use. The majority of incidents in this group involve stolen or missing material. Such occurrences can mark the beginning of an illicit trafficking incident. Thefts and missing material are also indicative of vulnerabilities in security and control systems at the originating facility or during transport. The remaining incidents are unauthorized possessions where there is no information regarding the intent of the individuals involved.

Confirmed incidents where it cannot be determined if they are related to Trafficking or Malicious Use, 1993–2016

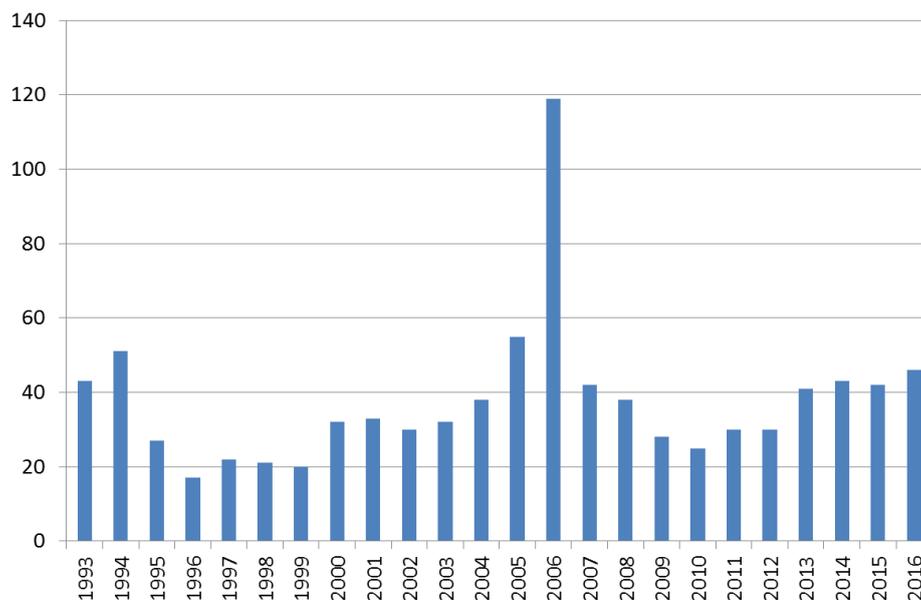


Figure 2. Incidents reported to the ITDB where there is insufficient information to determine that the incident is, or is likely to be, either connected or unconnected with trafficking or malicious use², 1993–2016.

In the period between 1993 and 2016, confirmed incidents in this group included high enriched uranium (3), plutonium (1) and plutonium neutron sources (4)³.

The majority of thefts and losses reported to the ITDB involve radioactive sources that are used in industrial or medical applications. Devices containing radioactive sources can be attractive to a potential thief as they may be perceived to have a high resale or scrap metal value.

² It should be noted that the spike of incidents in 2006 is related to a change in reporting practice by one country, rather than any change in the long term trend of such incidents.

³ Incidents involving plutonium-based smoke detectors are counted separately and totaled 11 in Group II.

The majority of industrial sources that are reported stolen or missing are those used for non-destructive testing and for applications in construction and mining. Most such devices use relatively long lived isotopes such as iridium-192, caesium-137 and americium-241. The ITDB categorizes the activity of sealed radioactive sources in accordance with the IAEA Safety Standards⁴, which ranks them from Category 1 to Category 5 in terms of their potential to cause harmful health effects. The exposure of only a few minutes to an unshielded Category 1 source can be fatal. Category 5 sources are the least dangerous; however such sources could give rise to detrimental consequences if misused. Those incidents reported to the ITDB in 2016 include incidents involving sources up to Category 2. The information reported underscores the need to improve security measures for such sources as well as to enhance the regulatory arrangements governing their use, storage, transport and disposal.

The recovery rate for Category 1-3 radioactive sources is high and can be attributed to the concerted effort made by the authorities to recover them. The majority of incidents relating to Categories 4 and 5 radioactive sources do not have a follow-up report confirming their recovery.

Group III: Incidents not connected with trafficking or malicious use, 1993–2016

Incidents included in this group are those for which there is sufficient information to determine that the incident is not connected with trafficking or malicious use. These incidents primarily involve various types of material recovery, such as discovery of uncontrolled sources, detection of materials disposed of in an unauthorized way and detection of inadvertent unauthorized possession or shipment of nuclear or other radioactive material.

Incidents involving other unauthorized activities and events, Group III, 1993–2016

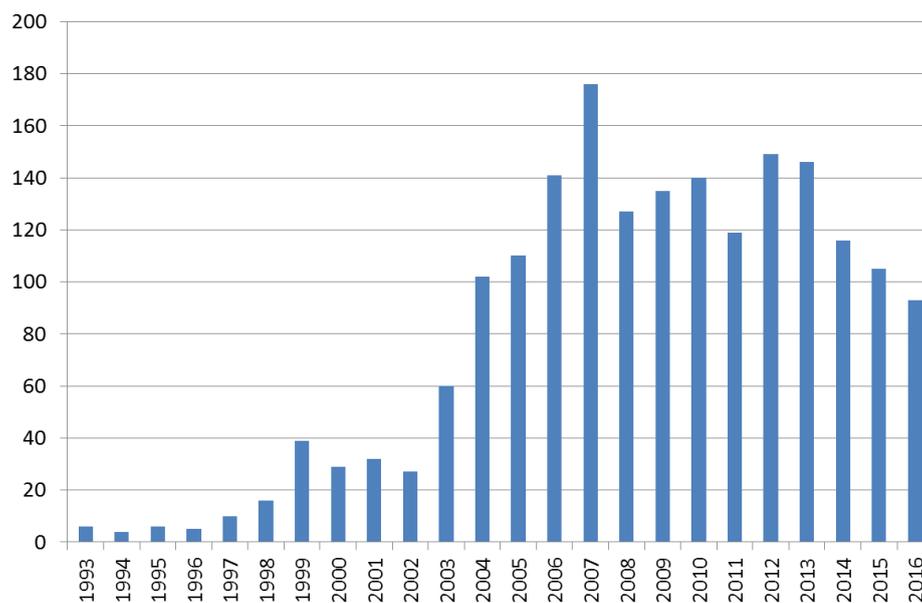


Figure 3. Incidents where there is sufficient information to determine that the incident is not, or is unlikely to be, connected, with Trafficking or Malicious Use., 1993–2016.

The majority of incidents in Group III fall into one of three categories: the unauthorized disposal (e.g. radioactive sources entering the scrap metal industry); unauthorized shipment (e.g. scrap metals contaminated with radioactive material being shipped across international borders); or the discovery of radioactive material (e.g. uncontrolled radioactive sources). The occurrence of such incidents indicates deficiencies in the systems to control, secure and properly dispose of radioactive material. The increase in reporting of these incidents between 2003 and 2005 coincides with the deployment of an increased number of radiation portal monitoring systems at national borders and

⁴ Categorization of Radioactive Sources, IAEA Safety Standards Series No. RS-G-1.9

scrap metal facilities. Over the last 10 years the number of reported incidents of this kind has stabilized to between 100 and 140 incidents per year⁵.

Of concern is the repeated appearance of high enriched uranium in metal recycling streams and outside of regulatory control. Since 2009, the ITDB has received reports of scrap metal shipments contaminated with enriched uranium received by scrapyards, the most recent of which occurred in 2014. In the 1993–2016 period, incidents involving high enriched uranium (16), plutonium (1), and plutonium neutron sources (8) were reported⁶.

In recent years, a growing number of incidents involved the detection of manufactured goods contaminated with radioactive material. This indicates a persistent problem for some countries in securing and detecting the unauthorized disposal of radioactive sources. The most common source of such contamination is the feed material (in most cases, metal) from which the product had been manufactured. Much feed material is often obtained from the metal recycling industry and, in the process of being melted down, can become contaminated with material from an undetected radioactive source such as cobalt-60. The resulting contaminated metal, if used to manufacture household goods, could pose a potential health problem to unsuspecting consumers.

Regional meetings on illicit nuclear trafficking information management and coordination

In 2016, 130 participants from 43 States attended one or more of the 6 regional and national information meetings that were conducted by the IAEA. These meetings are designed to enhance dialogue on the illicit trafficking and related nuclear security issues that impact a region most; help to raise awareness of the ITDB programme; and highlight the support the IAEA can offer to States in improving all elements of nuclear security. Regional information meetings also contribute to strengthening the national, regional and international capacity to combat illicit trafficking in nuclear and other radioactive material through enhanced sharing, management and coordination of information.

Joining the ITDB

Non-participating States are encouraged to join the ITDB programme. States wishing to join the ITDB programme should contact the IAEA Division of Nuclear Security. States will be asked to nominate a national Point of Contact who will provide reports on incidents to the ITDB, receive ITDB information and reports produced by the Agency and facilitate responses to the Secretariat's enquiries on specific incidents. Information on the ITDB, the procedures for reporting incidents and copies of the Incident Notification Form will be provided to the POC.

**Membership applications and nominations
of Points of Contact should be sent to:**

Mr. Raja Abdul Aziz RAJA ADNAN
Director, Division of Nuclear Security
International Atomic Energy Agency
Vienna International Centre
P.O. Box 100
A-1400, Vienna, AUSTRIA
Tel: +43-1-2600-22299

⁵ Due to the delay between an incident occurring and being reported, as incidents can take some time to be fully investigated, the number of incidents for 2015 and 2016 are expected to rise in line with previous years.

⁶ Incidents involving plutonium-based smoke detectors are counted separately and totaled 34 in Group 3.

Annex: States Participating in the ITDB as of 31 December 2016

1.	Albania	45.	Greece	92.	Oman
2.	Algeria	46.	Guatemala	93.	Pakistan
3.	Argentina	47.	Haiti	94.	Panama
4.	Armenia	48.	Honduras	95.	Paraguay
5.	Australia	49.	Hungary	96.	Peru
6.	Austria	50.	Iceland	97.	Philippines
7.	Azerbaijan	51.	India	98.	Poland
8.	Bahrain	52.	Indonesia	99.	Portugal
9.	Bangladesh	53.	Iran	100.	Qatar
10.	Belarus	54.	Iraq	101.	Romania
11.	Belgium	55.	Ireland	102.	Russian Federation
12.	Bolivia	56.	Israel	103.	Saudi Arabia
13.	Bosnia and Herzegovina	57.	Italy	104.	Senegal
14.	Botswana	58.	Jamaica	105.	Serbia
15.	Brazil	59.	Japan	106.	Sierra Leone
16.	Brunei Darussalam	60.	Jordan	107.	Singapore
17.	Bulgaria	61.	Kazakhstan	108.	Slovakia
18.	Burkina Faso	62.	Kenya	109.	Slovenia
19.	Cambodia	63.	Korea, Republic of	110.	South Africa
20.	Cameroon	64.	Kuwait	111.	Spain
21.	Canada	65.	Kyrgyzstan	112.	Sri Lanka
22.	Central African Republic	66.	Latvia	113.	Sudan
23.	Chad	67.	Lebanon	114.	Swaziland
24.	Chile	68.	Lesotho	115.	Sweden
25.	China	69.	Libya	116.	Switzerland
26.	Colombia	70.	Lithuania	117.	Tajikistan
27.	Congo, Democratic Republic of the	71.	Luxembourg	118.	Tanzania
28.	Costa Rica	72.	Madagascar	119.	Thailand
29.	Côte d'Ivoire	73.	Malawi	120.	The Former Yugoslav Republic of Macedonia
30.	Croatia	74.	Malaysia	121.	Tunisia
31.	Cuba	75.	Mali	122.	Turkey
32.	Cyprus	76.	Malta	123.	Uganda
33.	Czech Republic	77.	Mauritania	124.	Ukraine
34.	Denmark	78.	Mauritius	125.	United Arab Emirates
35.	Dominican Republic	79.	Mexico	126.	United Kingdom
36.	Ecuador	80.	Moldova, Republic of	127.	USA
37.	Estonia	81.	Mongolia	128.	Uruguay
38.	Ethiopia	82.	Montenegro	129.	Uzbekistan
39.	Finland	83.	Morocco	130.	Venezuela
40.	France	84.	Mozambique	131.	Vietnam
41.	Gabon	85.	Namibia	132.	Yemen
42.	Georgia	86.	Nepal	133.	Zambia
43.	Germany	87.	Netherlands	134.	Zimbabwe
44.	Ghana	88.	New Zealand		
		89.	Niger		
		90.	Nigeria		
		91.	Norway		